



**ACTION PLAN IMPLEMENTATION STATUS UPDATE  
REPORT TO THE AUDIT COMMITTEE  
AS OF SEPTEMBER 30, 2014**

PSD, RO, NAO, CFO			
<i>Follow-up Audit of Capacity Development</i>			
<i>APPROVAL DATE: 20-09-2013</i>			
PROJECT RECOMMENDATIONS	ACTION PLAN	EXPECTED COMPLETION DATE	PROGRAM RESPONSE
<p>broader capacity development needs of First Nations communities, people, institutions and professional organizations.</p> <p>ii. The Senior ADM PSD, with the support of the Senior ADM RO, the CFO, and the ADM NAO, should work with all ADMs to ensure that the policy development and program design and approval functions of the Department include an appropriate process and challenge function to ensure that the Department's capacity development principles and/or priorities are considered and reflected in all policy and program proposals, and that</p>			

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planned capacity development activities are sufficient to achieve the Department's capacity development priorities.			
<p>2. The Senior ADM PSD, with support of all AANDC senior executives, should:</p> <p>i. Facilitate the establishment of research and data analysis priorities to support the Government of Canada in improving First Nations capacity development approaches and programming; and</p> <p>ii. Review and clarify the department's role as a coordinator and facilitator of research and</p>	<p>i. Develop options for the development of a strategic research plan that will outline research priorities.</p> <p>Lead on production of the 2011 Community Well-Being Index based on data from the NHS.</p> <p>As a member of the interdepartmental ADM committee on the use of administrative data, promote the need for and the use of data related to Aboriginal peoples.</p>	<p>Spring 2014</p> <p>Spring 2014</p> <p>Fall 2014</p>	<p><b>i(a) Complete</b> <b>As of 30/09/2014:</b> After completing the report in Spring 2014, AANDC's Strategic Research Directorate was asked to undertake a second round of consultations on the options paper, which took place over the course of June-August 2014 using GCconnex and GCpedia. New commentary was integrated and the paper finalized in early September. Consideration of options to collect information on departmental investments in research are part of the above mentioned options paper.</p> <p><b>i(b) Complete</b> <b>As of 30/09/2014:</b> The Community Wellbeing Index was completed in spring 2014. Public release is pending.</p>



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<p>3. The Chief Financial Officer, with support of the Senior ADM RO and ADM NAO, should review and improve linkages between the General Assessment, Default Prevention and Management regime and capacity development program activities to ensure that First Nations with the greatest capacity development needs and potential are given appropriate focus by regions and programs.</p>	<p>The Chief Financial Officer (TPCOE), the Senior ADM RO Sector and the ADM NAO will work together to develop a national Case Management Approach including a template. This will be a formal, documented process to ensure that particular concerns and recipient capacity issues are brought to the attention of Senior Management at an overall national review at least twice a year, for information and decisions on actions required</p>	<p>November 2013</p>	<p><b>Status: Request to Close - Completed Update/Rationale: As of 31/12/2013:</b></p> <p>The Chief Financial Officer in working with the Senior ADM-RO and the ADM-NAO developed a national Case Management approach, including a template and tools to support implementation (e.g., bulletin and frequently asked questions).</p> <p>Currently, each region implements its own version of a Case Management approach. The Chief Financial Officer sector has proposed a standard national Case Management approach to have a consistent national framework. We will learn from this experience through regions and refine it accordingly.</p> <p><b>AES: Implemented. The recommendation will be closed. Closed.</b></p>
<p>4. The Senior ADM RO, with support of the Chief Financial</p>	<p>The Senior ADM RO will lead an analysis of AADNC's investments in capacity development across</p>	<p>December 2014</p>	

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<p>Officer, Senior ADM PSD and program ADMs, should analyze the Department's capacity development investments across regions and programs to determine whether program and community-level approaches and funding allocations are informed by the capacity-related needs of communities, considerate of risk, and aligned with departmental priorities for capacity development.</p> <p>Based on the results of analysis, and guided by AANDC's immediate capacity development priorities, the Senior ADM RO and program ADMs, with support of the Chief Financial Officer, should act upon opportunities to strengthen capacity development activities within each AANDC program.</p>	<p>regions. Results and recommendations will be presented to a senior governance committee for approval, with plan to better align spending with capacity development objectives and recipient need.</p>		